



520.37698CX1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: K. TAKAHASHI, et al.  
Serial No: 09/414,520  
Filed: October 8, 1999  
Title: A PLASMA PROCESSING APPARATUS AND A PLASMA  
PROCESSING METHOD  
Group: 1763  
Examiner: R. ZERVIGON

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

February 28, 2005

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find copies of documents cited in a corresponding Japanese patent application (that is, No. 10/289,696, filed October 12, 1998). Further enclosed are English abstracts of the respective cited documents. Still further enclosed is a Form PTO/SB/08A listing the enclosed documents.

These documents are being submitted in an application in which an Office Action on the merits has been mailed, but which is not under Final rejection and has not had a Notice of Allowance or any other action closing prosecution on the merits issued in connection therewith. For satisfying requirements of 37 C.F.R. §1.97(c), the fee set forth in 37 C.F.R. §1.17(p) is enclosed.

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It is respectfully submitted that while the enclosed documents are not in English, requirements of 37 C.F.R. §1.98(a)(3) are satisfied by the enclosed English abstracts for the respective documents.

In view of all the foregoing, it is submitted that all applicable requirements of 37 C.F.R. §1.97 and 1.98 have been satisfied, in connection with present submission of the enclosed documents. Consideration of the enclosed documents, upon further examination of the above-identified application, is respectfully requested.

To the extent necessary, Applicants petition for an extension of time under 37 CFR §1.136. Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (Case No. 520.37698CX1), and please credit any excess fees to such deposit account.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "William I. Solomon", written over a horizontal line.

William I. Solomon  
Registration No. 28,565  
ANTONELLI, TERRY, STOUT & KRAUS, LLP

WIS/kmh

Attachments



Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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